- 1			
1			FILED
	Chad McKinney		LILED
2	Pro Se NUNC PRO	TUNC	
	6266 Madeline St Apt #61		MAY - 8 2008
3	San Diago, CA 02115	2 0000	CI FRY U.S. DISTRICTS CO.
	619-634-3566 MAY -7	ZUUO	CLERK, U.S. DISTRICT COURT SOUTHERN, DISTRICT OF CALIFORNIA
4			BY DEPUTY
1	THE UNITED STATES DISTRICT COURTSOL	THERN	DISTRICT OF CALIFORNIA
5			
		) CIV. Ca	ase No.07-cv-2373
6	CHAD MCKINNEY, an individual,	)	
		) FOR VI	OLATION OF FEDERAL
7		) FALSE	CLAIMS ACT AND FOR
		) VIOLAT	FION OF THE
8		•	VIL RIGHTS ACT 1964 AND
		<b>,</b>	MENDMENTS TO TITLE
9		•	THE CIVIL RIGHTS ACT OF
		) 1991	
10	Plaintiff,	)	
		)	
11		) . nra	TIATION WEDONGER
12	;	•	ALIATION- WRONGFUL MINATION &
		•	LOYENT DISCRIMINATION
13		) LIVII I	CIVIL ACTION
	v.	, )	
14		, )	
	APOLLO GROUP INC., UNIVERSITY OF	PLAIN	TIFF'S MEMORANDUM OF
15	PHOENIX, a Corporation, MECHELLE	POINT	TS AND AUTHORITIES IN
	BONILLA, an Enrollment Manager at	SUPPO	ORT OF REPLY TO APRIL
16	UNIVERSITY OF PHOENIX, KYAN		RN'S OPPOSITION TO
	FLYNN, Director of Enrollment at		TIFF'S MOTION FOR CLERK'S
17	UNIVERSITY OF PHOENIX, APRIL	<u>ENTR</u>	Y OF DEFAULT
0	ALCORN, an Employee Relations	) NO OB	A.T. A.D. CHINARDS THE TOO
8	Consultant at UNIVERSITY OF PHOENIX  CARLYN LINDSTEN, Aggainta Director of		AL ARGUMENT, UNLESS
9	CARLYN LINDSTEN, Associate Director of Enrollment at UNIVERSITY OF PHOENIX	<u>KEQU</u>	ESTED BY THE COURT
	Emonment at CiviveRSII I OI I HOENIX	) Date:	May 12, 2008
20			11:00 a.m
			oom: 4
21			Hon. William Q. Hayes
		)	Conf. P. Conf. Con
22			nd for Trial by Jury Pursuant
,		to U.S.	. Constitution, 7 Amendment
23	Defaulants		2000
24	Defendants )	May 7,	, 2008
~ <b>~</b>			•

## TABLE OF CONTENTS

	Page	1
I.	INTRODUCTION	
II.	THE COURT DOES NOT LACK JURISDICTION OVER APRIL ALCORN4	
III.	APRIL ALCORN WAS GIVEN SUFFICIENT SERVICE OF PROCESS6	Ì
IV.	THE PLAINTIFF MAY BE AWARDED PUNITIVE DAMAGES7	:
V.	APRIL ALCORN MAY NOT BE RELIEVED FROM THE CLERK'S ENTRY	)F
	DEFAULT8	
VI.	CONCLUSION9	

## TABLE OF AUTHORITIES

- 1	
2	Page
3	FEDERAL CASES
4	Calder v. Jones, 465 U.S. 783 (1984)5
5	
6	Smith v. Wade, 461 U.S. 30, 56_, 103 S.Ct. 1625, 1628, 75 L.Ed.2d 632 (1983)7
7	Reynolds v. CSX Transportation, Inc., 115 F.3d 860, 869 (11th Cir.1997)7
8	
9	Splunge v. Shoney's, Inc.,         97 F.3d 488, 491 (11th Cir.1996)
0	Walters v. City of Atlanta, 803 F.2d 1135, 1147 (11th Cir. 1986)
11	
12	Espinoza v. United States, 52 F.3d 838, 841 (10th Cir. 1995)9
13	
4	FEDERAL RULES
15	Rule 4(m) of the Federal Rules of Civil Procedure8
16	
17	
18	
19	
20	
21	
22	
23	
24	

# PLAINTIFF'S MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT OF REPLY TO APRIL ALCORN'S OPPOSITION TO PLAINTIFF'S MOTION FOR CLERK'S ENTRY OF DEFAULT

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

I.

#### **INTRODUCTION**

This case is quite simple. The Plaintiff was terminated from his employment with the University of Phoenix ("UOP") on July 19th, 2007. Prior to his termination, the Plaintiff informed the UOP management and the Apollo Group, Inc. Employee Relations Consultant April Alcorn that the San Diego Enrollment Department was in violation of the Higher Education Act, and allowed UOP and the Defendant April Alcorn a reasonable amount of time to cease and desist the company's illegal activity. Rather than amending their illegal activity, UOP and April Alcorn waited until the Plaintiff was on a suggested leave of absence, and knowingly terminated him without proper warning purposefully ignoring an email by the Plaintiff to the Defendants that stated the purpose of his brief ten day absence from the company and provided a personal contact email address should he need to be reached regarding any further developments in his original complaint filed with the company on June 11, 2007 (Please see Exhibit A, dated July 13<sup>th</sup>, 2007, an email sent by the Plaintiff to April Alcorn, Mechelle Bonilla, and Martin Espinoza which states, "As per our telephone conversation vesterday morning I understood that you would get back to me within twentyfour hours...this is a very serious issue to me and I am extremely stressed out...I appreciate the option of a leave of absence that you had offered me earlier this week...Hopefully upon my return you will have a resolution to my HR issues that I have previously presented to you. If you attain a resolution in the meantime feel free to send it to my personal email account: puddy29@aol.com". Please see Exhibit B, a letter from the Plaintiff to April Alcorn and other

2

4

3

5

6 7

8

9

10

11

12

13

14

15

16

17

18

19

20 21

22

23

24

interested parties, dated June 11th, 2007, pages one through two, and subsequent Exhibits A1, B1, and C1, listing and supporting the Plaintiff's concerns with UOP, henceforth Apollo Group, Inc.'s negligent disregard and continued overt violation of the Higher Education Act. Please see Exhibit C, dated July 17th, 2007, a letter sent by Fed Express to the Plaintiff by April Alcorn, which states, "Our records indicate that you have not reported to work since Friday, July 13, 2007. Your failure to report to work has resulted in you being on an unauthorized leave of absence. Therefore, we expect you will return to work no later than Thursday, July 19, 2007 at your regularly scheduled work time. Failure to report to work by the designated date will leave us no other alternative but to accept your voluntary resignation." Please see Exhibit D dated July 19<sup>th</sup>, 2007, a letter sent by Fed Express to the Plaintiff by April Alcorn, which states, "Your failure to report to work has resulted in you being on an unauthorized leave of absence. A letter was sent to your home on July 17, 2007, expecting you to return to work no later than Thursday, July 19, 2007 at your regularly scheduled work time. You failed to report to work at the designated date and time. Consistent with our policy, the Company has chosen to separate your employment effective July 19, 2007." Please see Exhibit E, dated July 19th, 2007, a letter sent by Fed Express to the Plaintiff by April Alcorn, which states, "Thank you for bringing your workplace concerns to our attention. The Apollo Group, Inc. is committed to ensuring employees are treated fairly. Please be advised that the Apollo Group, Inc. has reviewed your concerns and we find no evidence to support any findings of the San Diego Enrollment Department violating Company policies or procedures as outlined by your allegations.")

Now the Defendant is alleging that she was never served properly, and so should not have to respond to the Plaintiff's complaint filed with the court on December 19th, 2007.

However, the Plaintiff, because Pro Se and to ensure service was proper, hired Mr. R.T. Hansell a professional service processor, who subcontracted service of process to Steve Ineich (Please see Exhibit F, a sworn affidavit of service by Steve Ineich, A.C.P.S. Process Server registered in Maricopa County, dated February 27<sup>th</sup>, 2008, and stating that he properly served the Defendant April Alcorn "by leaving ONE true copy(ies) of the above documents [SUMMONS, COMPLAINT & CONVER SHEET] with REBECCA SPRINGFIELD, SECURITY, AUTHORIZED. WORK: UNIVERSITY OF PHOENIX Described as female, age 50, CAUC, 5ft. 7in. tall, 150 lbs., BROWN eyes, BLACK hair."). Despite the overwhelming evidence presented by the Plaintiff that service was proper upon all Defendant parties, Nathan Hicks of Snell and Wilmer L.L.P, who is representing all Defendant parties, even though each party falsely claim to be unrelated and unbeknownst of the other parties actions and policies, continues to allege that the Defendants should not be forced to comply with the Federal Rules of Civil Procedure and respond to the Plaintiff's original complaint, thus requesting relief from the courts entry of default judgment against the defendants listed in this case. The Plaintiff moves the court to uphold its initial decision to enter a default judgment against the Defendant.

П.

## THE COURT DOES NOT LACK JURISDICTION OVER APRIL ALCORN

Here, the Defendant April Alcorn alleges that "the Plaintiff did not serve Alcorn within the state. Alcorn did not consent to the Court's jurisdiction nor is she domiciled within California. Finally, Alcorn does not even come close to requiring the minimum contacts analysis required to demonstrate that the forum state has a sufficient relationship with her to make it reasonable to requires her to defend the current action in California...Accordingly, the

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

Court lacks personal jurisdiction over Alcorn, and the entry of default against her is void and should be set aside." This is not true. As CALDER V. JONES, 465 U.S. 783 (1984) found...This minimum contact and malicious conduct by the Defendant April Alcorn is demonstrated in Exhibits A through E. Please also see Exhibit G, originally submitted as Exhibit H in the "PLAINTIFF'S MOTION IN OPPOSITION TO DEFENDANT'S DOCUMENT ENTITLED: "APOLLO GROUP, INC.'S OPPOSITION TO PLAINTIFF'S MOTION FOR CLERK'S ENTRY OF DEFAULT" which demonstrates that the Defendant April Alcorn conducted daily business operations from her place of employment in Arizona listed with the company at 4615 E. Elwood St., Phoenix, AZ 85040 in direct conjunction with the San Diego Enrollment Departments located at 1230 Columbia St., San Diego, CA 92101 and 3890 Murphy Canyon Road, San Diego, CA 92123, Exhibit G is an email correspondence between the Plaintiff's former Enrollment manager at the University of Phoenix; Mechelle Bonilla and Apollo Group, Inc.'s Employee Relations Consultant April Alcorn, which states: "Hi April, I have approached Chad again today, June 7, 2007 to have him sign the final written warning, he has again refused to sign it. Kyan Flynn, my director will be at the campus later today to be of witness to his refusal...Please let me know how to handle the situation from this point forward. Thank you.")

The Plaintiff was unable to originally collect unemployment insurance in California due to a direct decision made by the Defendant April Alcorn made to terminate the Plaintiff's employment with the University of Phoenix from her office of employment in Arizona.

(Please see Exhibit H, dated the 16<sup>th</sup> of August, 2007, a letter from the Employment Development Department denying the Plaintiff's ability to collect unemployment insurance, page 1, paragraph 1, of the letter states, "You are not eligible to receive benefits under

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

California unemployment insurance code section 1256 beginning 07/15/07...") The Plaintiff received unemployment benefits after the decision was overturned by the Honorable Catriona M. Miller, Administrative Law Judge. However, this was not until several months after the plaintiff had been fired from his job in California by April Alcorn in Arizona. (Please see Exhibit I, dated October 29, 2007, a letter from the California Unemployment Insurance Appeals Board, page 3, paragraph 6, which states, "it is concluded that the claimant was discharged for reasons other than misconduct connected with the most recent work.

Accordingly, he is not disqualified for benefits under code section 1256, and the employer's reserve account is subject to benefit charges."

III.

#### APRIL ALCORN WAS GIVEN SUFFICIENT SERVICE OF PROCESS

Here, the Defendant moves the Court set aside the Clerk's entry of default because the Plaintiff "did not properly serve Alcorn". This is not correct as is again demonstrated in Exhibit F, a sworn affidavit of service by Steve Ineich, A.C.P.S. Process Server registered in Maricopa County, dated February 27<sup>th</sup>, 2008, stating that he properly served the Defendant April Alcorn "by leaving ONE true copy(ies) of the above documents [SUMMONS, COMPLAINT & CONVER SHEET] with REBECCA SPRINGFIELD, SECURITY, AUTHORIZED. WORK: UNIVERSITY OF PHOENIX Described as female, age 50, CAUC, 5ft. 7in. tall, 150 lbs., BROWN eyes, BLACK hair."

IV.

#### THE PLAINTIFF MAY BE AWARDED PUNITIVE DAMAGES

The Defendant claims in its "OPPOSITION TO PLAINTIFF'S MOTION FOR CLERK'S ENTRY OF DEFAULT" that "McKinney may not be awarded punitive damages without a hearing". Under § 1981, punitive damages may be awarded "when the defendant's conduct is shown to be motivated by evil motive or intent, or when it involves reckless or callous indifference to the federally protected rights of others." Smith v. Wade, 461 U.S. 30, 56 , 103 S.Ct. 1625, 1628, 75 L.Ed.2d 632 (1983). To support a punitive damages award, a plaintiff must show that the defendant acted with malice or reckless indifference to the plaintiff's federally protected rights. Reynolds v. CSX Transportation, Inc., 115 F.3d 860, 869 (11th Cir.1997). Malice means "an intent to harm" and recklessness means "serious disregard for the consequences of [one's] actions." Splunge v. Shoney's, Inc., 97 F.3d 488, 491 (11th Cir.1996) (quotation omitted). See also Walters, 803 F.2d at 1147 (defining the standard as "cynical disregard"). "[T]he imposition of punitive damages in civil rights actions has generally been limited to cases involving egregious conduct or a showing of willfulness or malice on the part of the defendant"); Walters v. City of Atlanta, 803 F.2d 1135, 1147 (11th Cir. 1986). The defendant's showing of malice is demonstrated by the manner in which the employee was fired (Please again refer to Exhibits A through E and G through I of the "PLAINTIFF'S REPLY TO APRIL ALCORN'S OPPOSITION TO PLAINTIFF'S MOTION FOR CLERK'S ENTRY OF DEFAULT").

21

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

22

23

---

V.

# APRIL ALCORN SHOULD NOT BE RELIEVED FROM THE CLERK'S ENTRY OF DEFAULT

The Defendant alleges that "(1) the Court does not have personal jurisdiction over Alcorn; (2) the summons was not properly directed to any entity or individual; (3) McKinney did not make any reasonable attempts at personal service; (4) McKinney did not mail a copy of the summons and Complaint to the Individual Defendant; and (4) [5] Alcorn was not made aware of her involvement in this action until McKinney mailed her a notice of default on March 26, 2008, there was no reason to believe that Alcorn had been given sufficient service of process..." The Defendant's justifications presented as reasons 1 through 5 have been demonstrated by the Plaintiff to be false and inaccurate (Please see Exhibits A through I), henceforth April Alcorn should not be relieved from the Clerk's entry of default.

Additionally, the Defendant pleads that it "was mistaken" that it had not been given actual notice. This is not a bona fide legal defense, such as hardship, or any other good or substantive reason allowed by the Federal Rules of Civil Procedure Finally, although the Plaintiff believes that according to the law proper service was met, should the Court determine that service was not proper, the plaintiff hereby requests permission from the Court to amend the complaint based on Rule 4(m) of the Federal Rule of Civil Procedure:

Rule 4(m) of the Federal Rules of Civil Procedure provides in relevant part:

If service of the summons and complaint is not made upon a defendant within 120 days after the filing of the complaint, the court, upon motion or on its own initiative after notice to the plaintiff, shall dismiss the action without prejudice as to that defendant or direct that service be effected within a specified time; provided that if the plaintiff shows good cause for the

failure, the court shall extend the time for service for an appropriate period. Under this rule, the court can employ a two-step analysis (Espinoza v. United States, 52 F.3d 838, 841 (10th Cir. 1995). First, the plaintiff is entitled to a mandatory extension of time if the plaintiff demonstrates good cause for failing to timely effect service. Second, if the plaintiff fails to show good cause, the court may exercise its discretion and either dismiss the case without prejudice or extend the time for service. Id.; see also Fed.R.Civ.P. 4 advisory committee notes to the 1993 amendments to subdivision (m) ("The new subdivision . . . authorizes the court to relieve a plaintiff of the consequences of an application of this subdivision even if there is no good cause shown.").

WHEREFORE, the Plaintiff moves the court to reject the motion because the Defendant did not show good or substantive cause or hardship or schedule conflicts or any other good reason as to why they could not respond to the original complaint within the allotted time according to the rules of the F.R.C.P. Wherefore the Plaintiff moves the court to reject the Defendant's motion and uphold its entry of default judgment against the Defendant.

15

16

Respectfully submitted.

17

18

19

Pro Se

20

21

22

23

24

San Diego, CA 92115

619-634-3566

6266 Madeline St Apt #61

## **CERTIFICATE OF SERVICE**

I, Chad McKinney, hereby certify that on, May 7, 2008, I served copies of the Plaintiff's Motions, Memorandums of Points and Authorities, and supporting Appendices to the Court on the following parties by way of United States Postal Service First Class Priority Mail:

Snell & Wilmer L.L.P. Attention of: Nathan W. Hicks 600 Anton Boulevard, Suite 1400. Costa Mesa, CA 92626

The United States District Court Southern District of California

Document 61-2

Filed 05/08/2008

Page 13 of 62

Case 3:07-cv-02373-WQH-CAB

**EXHIBIT A** 

## **Chad McKinney**

From:

**Chad McKinney** 

Sent:

Friday, July 13, 2007 6:04 PM

To:

April Alcorn

Cc:

Mechelle Bonilla; Chad McKinney; Martin Espinoza

Subject: HR Issue

Dear April,

As per our telephone conversation yesterday morning I understood that you would get back to me within twenty four hours. I understand that unforeseen dilemmas or events may have arisen on your end preventing this from occurring. However, this is a very serious issue to me and I am extremely stressed out. I appreciate the option of a leave of absence that you had offered me earlier this week. Rather, I will take the next 10 days as vacation non-paid as I now have personal issues that I need to attend to. Hopefully upon my return you will have a resolution to my HR issues that I have previously presented to you. If you attain a resolution in the meantime feel free to send it to my personal email account: <a href="mailto:puddy29@aol.com">puddy29@aol.com</a>

Thank you,

# **Chad McKinney, Educational Counselor**

University of Phoenix | Axia College of University of Phoenix

San Diego Campus | 3890 Murphy Canyon Road |

San Diego, CA 92123

phone (858) 576-1287 ext. 34505 fax (858) 966-1196

email: Chad.Mckinney@phoenix.edu

"Do not go where the path may lead, go instead where there is no path, and leave a trail."

~Ralph Waldo Emerson

**EXHIBIT B** 

University of Phoenix—Chad McKinney June 11, 2007

To: the attention of April Alcorn and any other interested parties Attached: Exhibits; A, B, & C

Hi April thank you very much for talking to me. As per our telephone conversation on June 7<sup>th</sup>, 2007 here is a list of some of my concerns.

Here's a brief history of my situation.

I was hired on August 7<sup>th</sup> 2006 as an Enrollment Counselor for the University of Phoenix. During the interviewing process I was told this was a salary position and there wasn't any mention that my salary would go down if I did not meet quotas for new applicants.

I understood that I was doing a good job at University of Phoenix. I was even told that my team was the first to hit our goals in recruitment of new students. See Exhibit A, page 1, paragraph 1, and page 2.

Over time, I came to realize that essentially my salary was based on enrollment quotas. For example, if I met a "goal", which in essence was quota of 4 new students per month, I was not would not be reprimanded. If I did better on the quota, I was told I would get a 20% increase in salary after 6 months. I also understand that there were bonus's for team's that enrolled the most new applicants. See Exhibit B page 1 and page 2.

Over time I realized there was a lot of pressure to meet quotas. On one occasion I had an applicant that I felt was not qualified. In speaking with my manager, she said, "get the application anyways, it doesn't matter, we need that student." She clearly implied that we needed those sales. There is a lot of pressure to meet sales quotas. See Exhibit A, page 1, paragraph 3.

I later came to realize that the Higher Education Act prohibits universities from using sales quotas for enrollment counselors.

Knowing that the process at the University of Phoenix is at minimum questionable, if not illegal, I am having a hard time doing the job the way my manager wants it done.

I feel that because of this that I am being retaliated against. For example, some of my applicants were given to other people in disregard to corporate policy. See Exhibit C. I am being asked to sign documents that I feel are untrue relating to my performance. My salary was also recently reduced.

In light of this situation, it is difficult for me to perform in this hostile environment. I am considering looking for another position, but this will take some time.

University of Phoenix—Chad McKinney June 11, 2007

I am also considering legal action against the University if it does not immediately cease and desist this hostility. I am also demanding that my salary be increased to what it was previously and all back pay be paid back immediately.

If the University of Phoenix would like me to leave I will do that voluntarily under certain conditions. But as this has caused me financial harm, and stress, I would want a minimum of 4 months severance pay (at the old salary rate). In return, I would sign a release for any claims that I may have against the University.

I hope that you sincerely consider my situation and advise how the University would like to proceed?

Best Regards,

[Attached please see Exhibits]

Sincerely, Chad McKinney To: the attention of April Alcorn and any other interested parties Exhibit  $\mathbf{A}^{\mathsf{I}}$ 

## Chad McKinney

From:

Barbara Keramati

Sent:

Tuesday, December 19, 2006 7:23 PM

To:

Amber Henry; Brianne Dani; Chad McKinney; Claire Buckley; Denise Brousseau; Erica Low;

Heather Giordano; Jeabeth Garcia; Melissa Monte

Cc:

Kvan Flynn; Kim Savich

Subject:

Proud to be your Manager!

Attachments: Team OSIRA 8 21 2006.xml

Team....I am very proud to be your manager....I know you all worked really hard this month and whatever you didn't get for December went to January. Our Budget has been changed from 40 to 27 this month...we have 33 on our PSL for this month.....Can you believe....we met our GOAL as a team! We will be the first team in KM for the last five months to meet our Goal, all of you should should be very proud. This is only the beginning, now that we have hit we can only go up from here. Remember, students have to attend THREE nights or post THREE weeks in order to get START credit, which is what counts in the end. Let's blow January out of the water.

# Our Budget is 48 lives.....

Some of you have reviews coming up and need January to be BIG...so let's do it and stop talking about it. Marvie's Team is 58 percent of PSL Goal for January. I challenge the Rockstars to be 50 percent of 48 by Jan 3rd!

We have 10 on our PSL...where are all of the apins I keep hearing about...remember it's not there unless it's in APIN, REG or START status...

You guys know I am working really hard to help you guys out. So please help me out by SCHED six students everyday. It can be done.....New Years Resolutions...I will actually go to school and complete my degree. Month of no excuses!

Thanks again everyone,

Check out last week: 12/11-12/15.....

KUDOS TO CHAD MCKINNEY...CHAD YOU ARE MY HERO!!!!!!!! 7 appointments and 6 apins..can it get any better than that? Everyone call Chad at extension 34197 and ask him what he has done different!

KUDOS also to Melissa Monte for taking two apins and last week and this week and converting them into December Starts.....

LEVEL 1's are TAKING OVER SAN DIEGO!

ROCK ON!

De to De	Reply with Sh	anges E <u>n</u> d Re	eview		
vial + 12 +   B / U   E €	And the beat were either a see long a see present price on reapor	eranne Andrigerera i Afrika dan kalabatan	The state of the s	0 - A -	
C23 <b>2</b> 25	A CHARLESTON CAL	and make it is all the state of	To This is decounted to the control of the Control	s #15 ovozani rasil	150
	San Diego Manager's Team OSIRA				Д
			der med mine en a managen and a massive describe and a second		
MP.	DEC	Week En	12/11/06-12/15/	)6	
	le beignament uppresent	Pro Succession Street Constitution of			FORSIGIE
Rep Name		ECILEVE	Appointments		App
Amber Henry	AKH	1	3	3.5	
Chad McKinney	CDM	1 1	7	3.5	
Claire Buckley	СТВ	3	4	7.25	
Denise Brousseau	DEB	11	5	3.5	
Erica Low	ELL	2	4	6.25	ļ
Heather Glordano	HGG	1	9 .	3.5	ļ
2 Jeabeth Garica	C2G	2		6.25	
Melissa Monte	MLM	1 1	4	3.5	<u> </u>
4		,			
50					├
					├
74			37	37.25	<del>                                     </del>
Team Goals by EC Level			EVEN	EVEN	<del>                                     </del>
HitMiss			CVLIT	E ALIA	<del>                                     </del>
Budget for Month	27	d to the second	A street spring resource to the spring resource spring resourc		
		A walk all made of	I Come Data for Ma	nth & Ann P	alaa aan Aa'd
Projected Starts for Month	31	Anneipated	l Start Date for Mo	iiii o app i	
Total REG for Month	25	4	· · · · · · · · · · · · · · · · · · ·	ili.	
4			Meets Expectatio	ne.	
5 6			Below Meets Exp	mental garden and the state of	
011 459			Delow Meets EXP	DOMINIO	
	ometer when the party for this over the discountry of the for	The second section of the second	i de la companya de l	SOSPERIES S	

## Barbara Keramati, Enrollment Manager

University of Phoenix | Axia College of University of Phoenix

San Diego Campuses | 3890 Murphy Canyon Dr. | San Diego, CA 92123

Phone: 858-576-1287 ext. 34406 | Fax: 858-966-1266 | email: <u>barbara.keramati@phoenix.edu</u>

"It's not the years in your life that count. It's the life in your years." - Abraham Lincoln

In the

University of Phoenix—Chad McKinney June 11, 2007

To: the attention of April Alcorn and any other interested parties Exhibit  $\mathbf{B}^{\dagger}$ 

## **Chad McKinney**

From:

Mechelle Bonilla

Sent:

Thursday, March 01, 2007 12:16 PM

To:

Davina Mendoza; Sarari Carmichael; Denise Brousseau; Chad McKinney; Bertha Castillo;

Fran Beadles; Ryan Watson

Subject:

FW: March Madness - Fire Up Teams!!!

Attachments: image005.jpg; image002.gif; image003.gif; image006.gif; March Madness 2007.ppt

Okay everyone, we're competing against my old team South County and I know they'll be out to get us!! LET'S KICK THEIR BUTTS!! Get on those phones, schedule LOTS of appointments next week so we can get LOTS of app's and ECRFs!!! We with the wind the ball of show everyone who the new number one team...Downtown, El Cenro, & Palm Desert baby!!

Ill was break South County next week. I'll throw in an additional roward or izo. timeoff? lunch? We'll see!!

From: Kyan Flynn

Sent: Thu 3/1/2007 10:00 AM

To: SD MARKETING

Cc: Kim Savich; Alice Hallmann; Andrea Beltran; Carlyn Lindsten; James Neff; Jill Winter; Kim Eaken; Kyan Flynn;

Mechelle Bonilla

Subject: March Madness - Fire Up Teams!!!

×		×
		l
	March Madness is here San Diego!	

It's a new Half (2), new Quarter, new Month, and New Teams!

Let's get Fired Up!

Are you ready for some FUN????... San Diego March Madness 2007 is here .... Take a look at the rules to participate: Starting: Monday March 5<sup>th</sup> to Friday March 30<sup>th</sup>, your managers will run weekly your TEAMS average/EC results of Appt. APIN. ECRF!!!!!

Take a look at the attached spreadsheet: It is set up just like "March Madness" the final 4 (for all the sports fans out there)...You will be going neck to neck with other TEAMS for the week....whatever **TEAM** averages the most for that week in each bracket will be teamed up the following week.

# March Madness Experience:

- Create fun for yourself, your team and your students
- Week 1 Winners: Afternoon Snack Service
- Week 2 Winners: Breakfast Delivered (Full Service or Continental)
- Week 3 Winners: Pizza Party (Supreme or Cheese)
- Week 4 Winners: Grandiose Trophy, or Certificates of Achievement, or FLOOR
   CALLS for ALL Campuses for 1 Week or Tier 1 for 3
   days!!!!
- \*Race to change **360** new students lives in March

	×			
Lace up those high-tops		and get ready to	o sprint through N	MarchFire Up!!!
				•

# Kyan Flynn, MBA, Director of Enrollment

University of Phoenix | Axia College

San Diego Campuses | 3890 Murphy Canyon Dr. | San Diego, CA 92123

Phone: 858-576-1287 ext. 34082 | Fax: 858-966-1145 |

Email: kyan.flynn@phoenix.edu

To: the attention of April Alcorn and any other interested parties Exhibit C  $^{\prime}$ 

#### **Chad McKinney**

From:

**Chad McKinney** 

Sent:

Wednesday, March 28, 2007 6:09 PM

To:

Mechelle Bonilla

Subject: I have a question about these leads

Hi Mechelle,

What happened to these leads? Why did I not get App credit, and why were these leads taken from me? Am I being punished? I was sick on Monday. When I had asked you to call and reschedule my appointments for Monday, I did not realize these would be taken from me. These were sure things. My month in April, salary, and Tuition Reimbursement are all adversely affected by these decisions. I had only asked you to take care of this, not Alison Herring. Thank you very much for your time Mechelle I look forward to hearing from you.

RUBEN DIAZ IRN: 1001170841 Cody Coleman IRN: 9007515958

Sincerely,

## **Chad McKinney, Educational Counselor**

University of Phoenix | Axia College of University of Phoenix

San Diego Campus | 3890 Murphy Canyon Road |

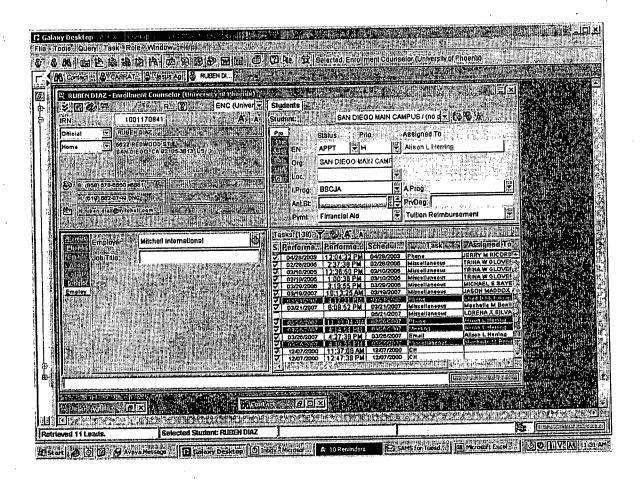
San Diego, CA 92123

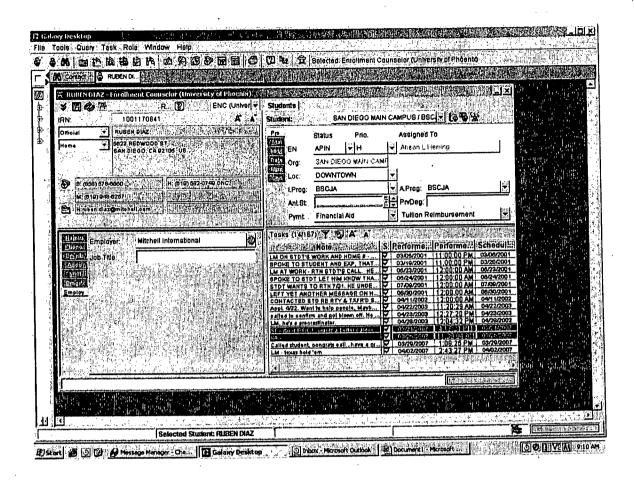
phone (858) 966-1597 fax (858) 966-1196

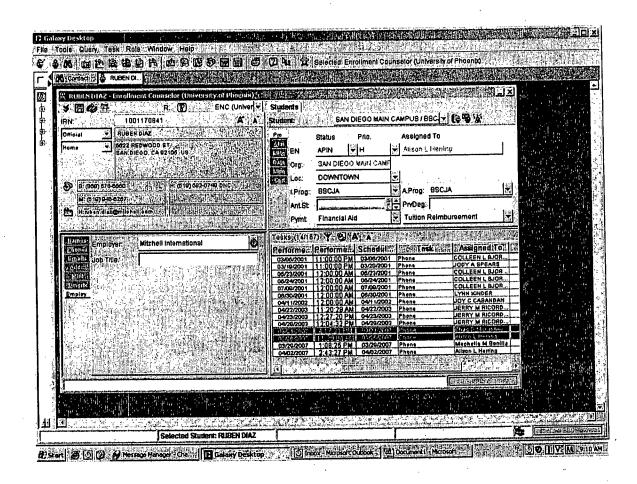
email: Chad.Mckinney@phoenix.edu

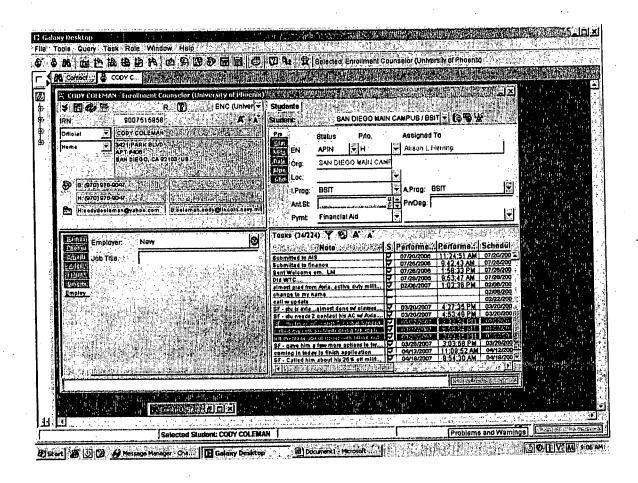
"Do not go where the path may lead, go instead where there is no path, and leave a trail."

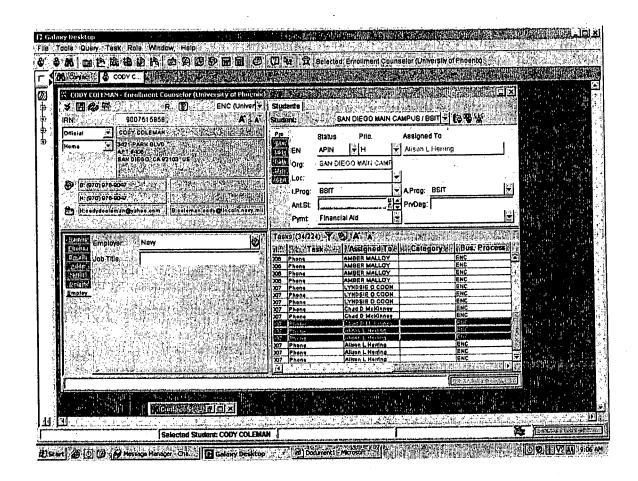
~Ralph Waldo Emerson

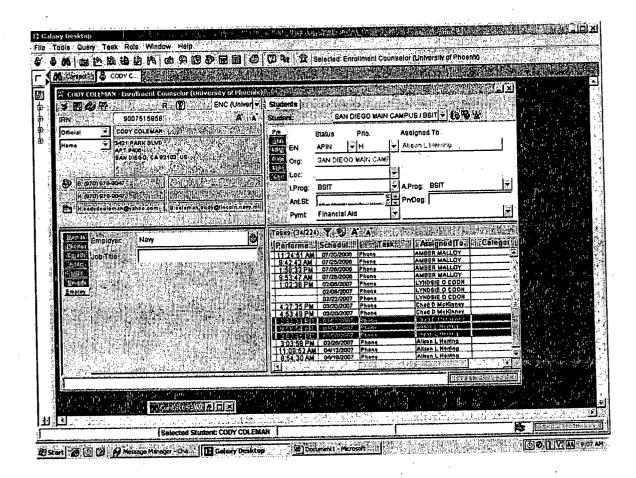












### **General Lead Policy**

All lead inquiries are to be entered / uploaded into Galaxy on the same day they are received. Due to the high volume of incoming leads and the pre-existing electronic duplicate check process in Galaxy, the National Qualifying Center (NQC) does not perform duplicate checks prior to transferring leads to Enrollment Counselors. Therefore, it is required that Enrollment Counselors check all leads received for duplicates prior to working with them. It is expected that Enrollment Counselors will attempt to contact all new leads within 24 working hours of their receipt. It is also expected that all phone calls and e-mails are returned within 24 working hours of receipt. Management reserves the right to move / transfer leads if not contacted in a timely manner.

Voice-to-voice contact with all new leads will first be attempted via the NQC for those campuses that are setup to receive NQC calls. The NQC will attempt to make voice-to-voice contact with all new leads for a period of 3 to 5 business days. After 5 business days, any lead that the NQC was unable to contact is released back to the campus (in NEW status) for distribution to Enrollment Counselors. All leads that were contacted by the NQC that were put into NFA and NQ statuses will remain in a designated holding tank. Any lead that was contacted by the NQC but did not become a transfer to an Enrollment Counselor (put into a SHOP status by the NQC) are also put into a designated holding tank.

**Determining Lead Ownership** – Regardless of how a lead should come to an Enrollment Counselor – be it via the National Qualifying Center (NQC), the Call Center (CC), a referral, a direct call, a lead transfer, a CEL, on paper, etc. – a DUP check <u>must</u> be performed in Galaxy using the criteria described on pages 5-9. If a duplicate / similar lead is found in the system under another Enrollment Counselor, whether at the same campus or another campus, the following rules will apply:

- 1. If the lead is in a contacted status other than APIN, REG, STRT, NSTR, or NSTA (such as SHOP, APPH, etc.) and there <u>has</u> been a documented attempt to contact or contact, notated in Galaxy within the past 21 calendar days, the lead will remain with the Enrollment Counselor who has already been working the lead, regardless of campus or modality. (The Enrollment Counselor who received the newest lead, the duplicate lead that cannot be worked at this time, should place his/her lead in DUP status).
- 2. If a new lead comes in to an Enrollment Counselor, and it can be proven that the lead came in by any means other than by way of referral, and that lead already exists in the database with another Enrollment Counselor AND that lead is in an APIN, REG, STRT, NSTR, or NSTA status, that lead will remain with the original Enrollment Counselor *unless* the following applies: If the APIN, REG, STRT, NSTR, or NSTA lead has no attempt to contact or contact documented in the Galaxy notes section from the current

Enrollment Counselor within the last 90 calendar days (from the date of the last note from that Enrollment Counselor in Galaxy), that lead will be reassigned to the Enrollment Counselor who most recently was assigned the lead. (The "new" Enrollment Counselor should ask his/her manager to get the lead reassigned to him/her in Galaxy. The Enrollment Manager should contact the "previous" Enrollment Counselor's Manager to let him/her know the lead is being switched over, per policy, AND the Enrollment Manager should make note of the lead switch in Galaxy).

\*\*Note: Leads should not be placed into APIN, REG, STRT, NSTR or NSTA, unless they meet the definitions outlined for each of the lead statuses on pages 3-5.

- 3. If the lead is in a contacted status other than APIN, REG, STRT, NSTR, or NSTA (such as SHOP, APPH, etc.) and it can be proven that the lead came in by any means other than by way of referral, and there has NOT been a documented attempt to contact as notated in Galaxy within the past 21 calendar days, the lead stays with the new Enrollment Counselor who was most recently assigned the lead. (The "new" Enrollment Counselor should ask his/her manager to contact the "previous" Enrollment Counselor's Manager to let him/her know the lead is being worked by the "new" Enrollment Counselor, per policy. The Enrollment Manager of the "previous" Enrollment Counselor should place the lead in DUP status).
- 4. If the lead is in an uncontacted status (ATC, LM2, etc.), and it can be proven that the lead was assigned to an Enrollment Counselor by way of a "live" voice to voice transfer from the NQC (as documented by the NQC rep in Galaxy), the lead will remain with the Enrollment Counselor who received the NQC transfer. (The "new" Enrollment Counselor should ask his/her manager to contact the "previous" Enrollment Counselor's Manager to let him/her know the lead is being worked by the "new" Enrollment Counselor, per policy. The Enrollment Manager of the "previous" Enrollment Counselor should place the lead in DUP status).
- 5. If the lead is in an uncontacted status (ATC, LM2, etc.) and it can be proven that the lead came in by any means other than by way of referral, and there has NOT been a documented attempt to contact as notated in Galaxy within the past 10 calendar days, the lead stays with the new Enrollment Counselor who was most recently assigned the lead. (The "new" Enrollment Counselor should ask his/her manager to contact the "previous" Enrollment Counselor's Manager to let him/her know the lead is being worked by the "new" Enrollment Counselor, per policy. The Enrollment Manager of the "previous" Enrollment Counselor should place the lead in DUP status).

#### **Determining Lead Ownership**

Lead Status	Last Attempt to Contact/Contact Date	Lead Owned/Worked By:
		Control of Fig. 1.
ATC, LM, EM	< 10 calendar days	Current Counselor
ATC, LM, EM	> 10 calendar days	New Counselor
SHOP, APPH, SCHD,	< 21 calendar days	Current Counselor
HSS, NOSH, NQ, NOPE,		
NFA, APPT, IMTG,	·	
SHOP, APPH, SCHD,	> 21 calendar days	New Counselor
HSS, NOSH, NQ, NOPE,	•	
NFA, APPT, IMTG,		l land to the second se
APIN, NSTA, NSTR, REG,	< 90 calendar days	Current Counselor
STRT		
APIN, NSTA, NSTR, REG,	> 90 calendar days	New Counselor
STRT		

Local Campuses Enrolling Online and/or Axia students – Local campuses that are approved to enroll Online and/or Axia students should follow all policies within this guide. Local Enrollment Counselors do NOT need to "oil/gas" leads to Online or Axia until it is determined that the prospective student is actually going to enroll and it is documented in Galaxy. "Oil/gas" canning a lead does not "protect" a lead in any way, as lead ownership is determined by the policies outlined above.

**Defining 'Contacted' Leads** – Documented *contact* requires that the lead be in a contacted status with appropriate notes from a conversation posted to Galaxy. A change in status on a lead alone, without appropriate notes in Galaxy documenting that conversation, does not constitute a contacted lead. See pages 3-5 for a list of 'contacted' statuses.

**Defining 'Uncontacted' Leads** – Defined as a lead where no contact has been made by either phone conversation or by way of an e-mail exchange between the Enrollment Counselor and the prospect, and where no documentation exists to prove the contact had been made (the actual e-mail exchange, notes in Galaxy, etc.). See pages 3-5 for a list of 'uncontacted' statuses.

**Leads Received on the Same Day** – Some leads enter our Galaxy system on the same day, which creates issues in determining lead assignment. Lead assignment in these cases will be determined by who made the first contact as noted in the history notes section of Galaxy and with an appropriate contacted status on the

Filed 05/08/2008

Page 38 of 62

◆AO 440 (Rev. 10/93) Summons in a Civil Action

UNITED	STATES	<b>DISTRICT</b>	COURT
OMITED	DIVITO	DIDITION	

THE UNITED STATES DISTRICT COURT SOUTHERN

District of

California

Chad McKinney, Pro Se

SUMMONS IN A CIVIL CASE

CV 1/3

V

APOLLO GROUP INC., UNIVERSITY OF PHOENIX, a Corporation, MECHELLE BONILLA, an Enrollment Manager at UNIVERSITY OF PHOENIX, KYAN FLYNN, Director of Enrollment at UNIVERSITY OF PHOENIX, APRIL ALCORN, an Employee Relations Consultant at UNIVERSITY OF PHOENIX, CARLYN LINDSTEN, Associate Director of Enrollment at UNIVERSITY OF PHOENIX

CASE NUMBER:

CAP

TO: (Name and address of Defendant)

YOU ARE HEREBY SUMMONED and required to serve upon PLAINTIFF'S ATTORNEY (name and address)

Chad McKinney, Pro Se 6266 Madeline Street Apt. No. 61 San Diego, Ca 92115-5630

an answer to the complaint which is herewith served upon you, within 20 days after service of this summons upon you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. You must also file your answer with the Clerk of this Court within a reasonable period of time after service.

SAMUEL HAMRICK, JR.

JAN 1 5 2008

CLERK

DATE

(By) DEPUTY CLERK

RETURN OF SERVICE				
Service of the Summons and Complaint was made by me	DATE 1/31/08			
NAME OF SERVER R.T. Hansell	TITLE RPS.			
Check one box below to indicate appropriate method of service				
Served personally upon the defendant. Place where serv	ved:			
Left copies thereof at the defendant's dwelling, house of discretion then residing therein:	r usual place of abode with a person of suitable age and			
Name of person with whom the summons and	complaint were left:			
Return unexecuted:				
Other (specify): Served Apollo Group Inc by leaving Copies with Ellen Bowens (Administration).				
STATEMENT OF SERVICE FEES				
TRAVEL	SERVICES TOTAL \$ 45			
I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service is true and correct.  Executed on:  2/12/08  Signature of Server  2/45 Movena Blud, Ste 206  Address of Server 5. D. Ca. 92110				
Address of				
	TOURS OF A TEC MACICTE A TE			
NOTICE OF RIGHT TO CONSENT TO TRIAL BY A  IN ACCORDANCE WITH THE PROVISION OF 28 USC 636(C) YOU MAGISTRATE OF THIS DISTRICT MAY, UPON CONSENT OF A PROCEEDINGS, INCLUDING A JURY OR NON-JURY TRIAL, A COUNSEL FOR THE PLAINTIFF HAS RECEIVED A CONSENT OF AND SHOULD BE AWARE THAT YOUR DECISION TO CONSE AND SHOULD BE COMMUNICATED SOLELY TO THE CLERK THE JUDGE OR MAGISTRATE TO WHOM THE CASE HAS BEITUDGEMENTS OF THE U.S. MAGISTRATES ARE APPEALABL	OU ARE HEREBY NOTIFIED THAT A U.S. ALL PARTIES, CONDUCT ANY OR ALL ND ORDER THE ENTRY OF A FINAL JUDGMENT. FORM. INT OR NOT CONSENT IS ENTIRELY VOLUNTARY OF COURT. ONLY IF ALL PARTIES CONSENT WILL EN ASSIGNED BE INFORMED OF YOUR DECISION.			

1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure

EXHIBIT C



University of Phoenix Institute for Professional Development College for Financial Planning Western International University

July 17, 2007

Chad McKinney 6266 Madeline St. #61 San Diego, CA 92115

Dear Mr. McKinney,

Our records indicate that you have not reported to work since Friday, July 13, 2007. Your failure to report to work has resulted in you being on an unauthorized leave of absence. Therefore, we expect you will return to work no later than Thursday, July 19, 2007 at your regularly scheduled work time. Failure to report to work by the designated date will leave us no other alternative but to accept your voluntary resignation.

If you have any questions, please do not hesitate to contact me at (480) 557-1086.

Sincerely,

**Employee Relations Consultant** 

Apollo Group, Inc.

EXHIBIT D





University of Phoenix
Institute for Professional Development
College for Financial Planning
Western International University

July 19, 2007

Chad McKinney 6266 Madeline St. #61 San Diego, CA 92115

Dear Mr. McKinney,

Our records indicate that you have not reported to work since July 13, 2007. Your failure to report to work has resulted in you being on an unauthorized leave of absence. A letter was sent to your home on July 17, 2007, expecting you to return to work no later than Thursday, July 19, 2007 at your regularly scheduled work time.

You failed to report to work at the designated date and time. Consistent with our policy, the Company has chosen to separate your employment effective July 19, 2007.

If you have any questions, please do not hesitate to contact me at (480) 557-1086.

Sincerely,

April-Alcorn

Employee Relations Consultant

Apollo Group, Inc.

L EAV. 480 379-357

**EXHIBIT E** 



University of Phoenix Institute for Professional Development College for Financial Planning Western International University

July 19, 2007

Chad McKinney 6266 Madeline St. #61 San Diego, CA 92115

Dear Mr. McKinney,

Thank you for bringing your workplace concerns to our attention. The Apollo Group, Inc. is committed to ensuring employees are treated fairly. Please be advised that the Apollo Group, Inc. has reviewed your concerns and we find no evidence to support any findings of the San Diego Enrollment Department violating Company policies or procedures as outlined by your allegations. In the event that you have any questions or concerns relating to this situation, please feel free to contact me at (480) 557-1086.

Sincerely,

April Alcorn

**Employee Relations Consultant** 

Apollo Group, Inc.

CHAD MCKINNEY 6266 MADELINE STREET #61 SAN DIEGO CA 92115-5630 (619) 634-3566 Bar#

> IN THE UNITED STATES DISTRICT COURT OF THE STATE OF CALIFORNIA IN AND FOR THE COUNTY OF SAN DIEGO

CHAD MCKINNEY

Plaintiff

NO. 07CV2373WQHCAB

vs.

AFFIDAVIT OF SERVICE

APOLLO GROUP INC., ET AL

Defendant

, being duly sworn, states: that he/she is duly qualified to serve process STEVE INEICH, A.C.P.S. in this cause, having been so appointed by the court, that he/she received the following document(s) in this action:

SUMMONS, COMPLAINT & COVER SHEET

from CHAD MCKINNEY on 02/13/08, attorney(s) for the PLAINTIFF; that he/she personally served the same upon the party/parties in the manner named below:

NAME: APRIL ALCORN

DATE & TIME: 02/26/08 1:33 PM

4615 EAST ELWOOD STREET PHOENIX, AZ 85040

MANNER: By leaving ONE true copy(ies) of the above documents with REBECCA SPRINGFIELD,

SECURITY, AUTHORIZED.

WORK: UNIVERSITY OF PHOENIX

Described as female, age 50, CAUC, 5ft. 7in. tall, 150lbs

BROWN eyes, BLACK hair,

Statement of Costs

Service \$ 16.00 \$ 25.00 Mileage Document Prep \$ 11.00 .00 .00 .00 .00 .00 52.00 Total

STEVE INEICH, A.C.P.S. Process Server registered in MARICOPA County

Subscribed and sworn to before me

他; 大家

OFFIGIAL SEAL PATRICIA PENROD

NOTARY PUBLIC - State of Arizona

MARICOPA COUNTY

My Gomm. Expires June 14, 2009

Frontier Private Process Service 1145 West McDowell Road Phoenix, AZ 85007 (602) 258-0022 10802285-01 4783 10102

**EXHIBIT G** 

#### **Chad McKinney**

From:

April Alcorn

Sent:

Thursday, June 07, 2007 3:45 PM

To:

Chad McKinney

Subject: RE: FWW/DML Chad McKinney

Chad,

If you have workplace concerns you would like to bring forward, please feel free to contact me.

Chank pou!

April

From: Mechelle Bonilla

Sent: Thursday, June 07, 2007 3:43 PM

To: April Alcorn

**Cc:** Chad McKinney; Mechelle Bonilla **Subject:** FWW/DML Chad McKinney

Hi April,

I have approached Chad again today, June 7, 2007 to have him sign the final written warning, he has again refused to sign it. Kyan Flynn, my director will be at the campus later today to be of witness to his refusal.

Chad has also requested that I inform HR that he will "sign a voluntary resignation that will hold UOP and all affiliates harmless from any claims that he might have with the condition of a four month's pay severance package. Consultation has advised Chad to offer the prior statement versus taking legal action based upon prior ethical discrepancies he has with UOP".

Please let me know how to handle the situation from this point forward. Thank you.

MECHELLE BONILLA, Enrollment Manager University of Phoenix | Axia College of University of Phoenix San Diego Campus | 1230 Columbia Street, Ste 870 | San Diego, CA 92101 phone (858) 576-1287 ext 34514 fax (858) 966-1269 | mechelle.bonilla@phoenix.edu

Click here to enroll for an Associates Degree!

Click here to enroll for Bachelor and Master Degrees!

Click Here for Financial Aid Pin Number

Catalog

**EXHIBIT H** 

Case 3:07-cv-02373-WQH-CAB Document 61-2 EMPLOYMENT DEVELOPMENT DEPT ORANGE COUNTY ADJUDICATION CTR P.O. BOX 66000

CA 92816

Filed 05/08/2008 Page 51 of 62

EDD Development
Department
State of California

### NOTICE OF DETERMINATION / RULING

DATE MAILED 08/16/07 BENEFIT YEAR BEGAN 07/15/07

C D MCKINNEY 0060 6266 MADELINE ST APT61 SAN DIEGO CA 92115

ANAHEIM

EDD TELEPHONE NUMBERS:
ENGLISH 1-800-300-5616
SPANISH 1-800-326-8937
CANTONESE 1-800-547-3506
MANDARIN 1-866-303-0706
VIETNAMESE 1-800-547-2058
TTY 1-800-815-9387

SSA NUMBER 321 84 8805

YOU ARE NOT ELIGIBLE TO RECEIVE BENEFITS UNDER CALIFORNIA UNEMPLOYMENT INSURANCE CODE SECTION 1256 BEGINNING 07/15/07 AND CONTINUING UNTIL YOU RETURN TO WORK AFTER THE DISQUALIFYING ACT AND EARN \$1725.00 OR MORE IN BONA FIDE EMPLOYMENT, AND YOU CONTACT THE ABOVE OFFICE TO REOPEN YOUR CLAIM.

YOU WERE DISCHARGED FROM YOUR LAST JOB WITH APOLLO GROUP INC BECAUSE YOU WERE ABSENT WITHOUT PERMISSION. AFTER CONSIDERING THE AVAILABLE INFORMATION, THE DEPARTMENT FINDS THAT YOU DO NOT MEET THE LEGAL REQUIREMENTS FOR PAYMENT OF BENEFITS. SECTION 1256 PROVIDES - AN INDIVIDUAL IS DISQUALIFIED IF THE DEPARTMENT FINDS HE VOLUNTARILY QUIT HIS MOST RECENT WORK WITHOUT GOOD CAUSE OR WAS DISCHARGED FOR MISCONDUCT FROM HIS MOST RECENT WORK. SECTION 1260A PROVIDES - AN INDIVIDUAL DISQUALIFIED UNDER SECTION 1256 IS DISQUALIFIED UNTIL HE/SHE, SUBSEQUENT TO THE DISQUALIFYING ACT, PERFORMS SERVICES IN BONA FIDE EMPLOYMENT FOR WHICH HE/SHE RECEIVES REMUNERATION EQUAL TO OR IN EXCESS OF FIVE TIMES HIS OR HER WEEKLY BENEFIT AMOUNT.

YOU ARE NOT ELIGIBLE TO RECEIVE BENEFITS UNDER CALIFORNIA UNEMPLOYMENT INSURANCE CODE SECTION 1253C BEGINNING 07/15/07 AND ENDING 07/28/07 (02 WEEKS).

YOU HAVE PLACED LIMITS ON YOUR WORK BECAUSE YOU ARE ON VACATION OR LEAVE OF ABSENCE. AFTER CONSIDERING AVAILABLE INFORMATION, THE DEPARTMENT FINDS THAT YOU DO NOT MEET THE LEGAL REQUIREMENTS FOR PAYMENT OF BENEFITS.

SECTION 1253C PROVIDES - AN INDIVIDUAL IS ELIGIBLE FOR BENEFITS IN A WEEK ONLY IF THE DEPARTMENT FINDS HE WAS ABLE TO WORK AND AVAILABLE FOR WORK.

YOU ARE NOT ELIGIBLE TO RECEIVE BENEFITS UNDER CALIFORNIA UNEMPLOYMENT INSURANCE CODE SECTION 1253E BEGINNING 07/15/07 AND ENDING 07/21/07 (01 WEEKS).

YOU DID NOT LOOK FOR WORK FROM 07/15/07 TO 07/21/07. SECTION 1253E PROVIDES - AN INDIVIDUAL IS ELIGIBLE FOR BENEFITS IN A WEEK ONLY IF THE DEPARTMENT FINDS HE HAS SOUGHT WORK AS DIRECTED BY THE DEPARTMENT.

#### APPEAL:

YOU HAVE THE RIGHT TO FILE AN APPEAL IF YOU DO NOT AGREE WITH ALL OR PART OF THIS DECISION.

TO APPEAL, YOU MUST DO ALL OF THE FOLLOWING:

- A. COMPLETE THE ENCLOSED APPEAL FORM (DE1000M) OR WRITE A LETTER STATING THAT YOU WANT TO APPEAL THIS DECISION. IF YOU WRITE A LETTER TO APPEAL, EXPLAIN THE REASON WHY YOU DO NOT AGREE WITH THE DEPARTMENT'S DECISION. WRITE YOUR SOCIAL SECURITY NUMBER ON EACH DOCUMENT YOU SUBMIT TO THE DEPARTMENT. (TITLE 22, CALIFORNIA CODE OF REGULATIONS (CCR), SECTION 5008).
- B. MAIL THE DE1000M OR YOUR LETTER TO THE ADDRESS OF THE OFFICE LISTED ON THE FIRST PAGE OF THIS DECISION.
- C. FILE YOUR APPEAL WITHIN TWENTY (20) DAYS OF THE MAIL DATE OF THIS NOTICE OR NO LATER THAN 09/05/07.

YOUR HANDBOOK, "A GUIDE TO BENEFITS AND EMPLOYMENT SERVICES", GIVES MORE INFORMATION ABOUT APPEALS. IF YOU DO NOT HAVE A HANDBOOK, CONTACT THE OFFICE LISTED ON THE FIRST PAGE OF THIS NOTICE.

#### APPEAL INFORMATION:

WHEN YOUR APPEAL IS RECEIVED, YOUR CASE WILL BE REVIEWED. IF THE DECISION REMAINS THE SAME, WE WILL SEND YOUR APPEAL TO THE OFFICE OF APPEALS. IF YOU APPEAL AFTER THE 20 DAYS, YOU MUST INCLUDE THE REASON FOR THE DELAY. THE ADMINISTRATIVE LAW JUDGE WILL DETERMINE WHETHER YOU HAD GOOD CAUSE FOR THE DELAY. IF THE ADMINISTRATIVE LAW JUDGE DETERMINES YOU DID NOT HAVE GOOD CAUSE FOR SUBMITTING YOUR APPEAL LATE, YOUR APPEAL WILL BE DISMISSED.

THE OFFICE OF APPEALS WILL SEND YOU A LETTER WITH THE DATE, PLACE, AND TIME OF YOUR HEARING AND A PAMPHLET EXPLAINING APPEAL HEARING PROCEDURES. AT THE HEARING, THE ADMINISTRATIVE LAW JUDGE WILL LISTEN TO YOU, EXAMINE THE FACTS, AND MAKE A DECISION. YOU MAY HAVE A REPRESENTATIVE OR SOMEONE ELSE HELP YOU.

IF YOU ARE CLAIMING CONTINUING BENEFITS:

WHILE YOU WAIT FOR THE ADMINISTRATIVE LAW JUDGE'S DECISION, YOU MUST CONTINUE TO MAIL YOUR CLAIM FORMS TO THE EDD. IF YOU DO NOT RECEIVE CLAIM FORMS OR A FORM FROM THE OFFICE OF APPEALS, CONTACT THE OFFICE LISTED ON THE FIRST PAGE OF THIS NOTICE. IF THE ADMINISTRATIVE LAW JUDGE DECIDES YOU ARE ELIGIBLE FOR BENEFITS; WE CAN ONLY PAY BENEFITS IF CLAIM FORMS WERE RECEIVED FOR THAT WEEK.

OTHER SERVICES: CONTACT EDD FOR INFORMATION ABOUT (1) JOB REFERRALS, (2) DISABILITY INSURANCE, (3) OTHER EDD SERVICES (4) SERVICES OFFERED BY EXHIBIT \*I

Case Number: 2190645

CHAD D MCKINNEY 6266 MADELINE ST APT61 SAN DIEGO, CA 92115-0000



## SAN DIEGO OFFICE OF APPEALS 3517 Camino Del Rio South, #310 SAN DIEGO CA 92108

(619) 521-3300

CHAD D MCKINNEY SSN: 321-84-8805

Claimant-Appellant

APOLLO GROUP, INC.

c/o CORPORATE COST CONTROL INC

Account No: 280-0427

Employer

Case No. 2190645

Issue(s): 1030/32, 1256

Date Appeal Filed: 08/22/2007

EDD: 0060 BYB: 07/15/2007

Date and Place of Hearing(s):

(1) 10/16/2007 San Diego

Parties Appearing:

Claimant

### **DECISION**

The decision in the above-captioned case appears on the following page(s).

The decision is final unless appealed within 20 calendar days from the date of mailing shown below. See the attached "Notice to Parties" for further information on how to file an appeal. If you are entitled to benefits and have a question regarding the payment of benefits, call EDD at 1-800-300-5616.

Catriona M. Miller, Administrative Law Judge

Date Mailed: 0CT 2 9 2007

San Diego Office of Appeals

ALJ: Catriona M. Miller

Case No.: 2190645

2190645

CLT/PET: Chad D. McKinney Parties Appearing: Claimant

Parties Appearing by Written Statement: None

**REV** 

# **ISSUE STATEMENT**

The claimant appealed from a determination disqualifying the claimant for unemployment benefits under Unemployment Insurance Code section 1256. A ruling held the employer's reserve account was not subject to charges. The issue in this case is whether the claimant was discharged for misconduct connected with the most recent work.

# STATEMENT OF FACTS

The claimant was most recently employed as an educational counselor for about 11 months, last earning approximately \$35,000 per year. He last worked on July 13, 2007, and was discharged under the following circumstances.

During the claimant's last day of work, he was offered a leave of absence, due to stress relating to an allegedly hostile work environment. The claimant indicated that he would consider that option. The claimant notified his employer on July 13, 2007, that he would be taking a 10 day leave of absence, and returning to work July 26, 2007. He received no reply from his employer, and was not told that he would be fired if he took the leave of absence. The claimant provided a personal e-mail contact in case of any questions. The claimant received no e-mails from the employer.

The claimant went out of town during his leave of absence. When he returned on July 23, 2007, he received two Fed-EX letters, dated July 17 and July 19, 2007, indicating that the claimant was on an unauthorized leave of absence, and notifying the claimant that he had been terminated from employment. The claimant felt that the termination was retaliatory against the claimant for alleging a hostile work environment. Accordingly, he had no further communication with the employer.

The claimant had no prior warnings for the same or similar conduct. The employer protest letter indicated that the claimant failed to return to work following a leave of absence, but in its July 19, 2007 termination letter, indicated that the claimant was never-given permission to be on a leave of absence.

# REASONS FOR DECISION

An individual is disqualified for benefits if he or she has been discharged for misconduct connected with his or her most recent work. (Unemployment Insurance Code, section 1256.)

The employer's reserve account may be relieved of benefit charges if the claimant was discharged for misconduct. (Unemployment Insurance Code, sections 1030 and 1032.)

"Misconduct connected with the work" is a substantial breach by the claimant of an important duty or obligation owed the employer, wilful or wanton in character, and tending to injure the employer. (Precedent Decision P-B-3, citing *Maywood Glass Co. v. Stewart* (1959) 170 Cal.App.2d 719.)

On the other hand, mere inefficiency, unsatisfactory conduct, poor performance as the result of inability or incapacity, isolated instances of ordinary negligence or inadvertence, or good faith errors in judgment or discretion are not misconduct.

The employer has the burden of proving misconduct. (*Prescod v. California Unemployment Insurance Appeals Board* (1976) 57 Cal.App.3d 29.)

In this case, the weight of the evidence indicated that the claimant believed he was on an authorized leave of absence. Given the lack of prior warning, and the lack of communication from the employer to the claimant regarding the leave of absence, it is concluded that the claimant was discharged for reasons other than misconduct connected with the most recent work. Accordingly, he is not disqualified for benefits under code section 1256, and the employer's reserve account is subject to benefit charges.

# **DECISION**

The department determination and ruling are reversed. The claimant is not disqualified for benefits under code section 1256. Benefits are payable, provided the claimant is otherwise eligible. The employer's reserve account is subject to benefit charges.

PAS: pm

Case 3:07-cv-02373-WQH-CAB Document 61-2 Filed 05/08/2008 Page 58 of 62 CALIFORNIA UNEMPLOYMENT INSURANCE APPEALS BOARD



### SAN DIEGO OFFICE OF APPEALS 3517 Camino Del Rio South, #310 SAN DIEGO CA 92108

(619) 521-3300

CHAD D MCKINNEY SSN: 321-84-8805 Claimant-Appellant Case No. 2190646

Issue(s): 1253(c), 1253(e)

Date Appeal Filed: 08/22/2007

EDD: 0060 BYB: 07/15/2007

Date and Place of Hearing(s): (1) 10/16/2007 San Diego

Parties Appearing:

Claimant

# **DECISION**

The decision in the above-captioned case appears on the following page(s).

The decision is final unless appealed within 20 calendar days from the date of mailing shown below. See the attached "Notice to Parties" for further information on how to file an appeal. If you are entitled to benefits and have a question regarding the payment of benefits, call EDD at 1-800-300-5616.

Catriona M. Miller, Administrative Law Judge

Date Mailed: 0CT 2 9 2007.

Case No.: 2190646

San Diego Office of Appeals

CLT/PET: Chad D. McKinney

ALJ: Catriona M. Miller

Parties Appearing: Claimant

Parties Appearing by Written Statement: None

# ISSUE STATEMENT

The claimant appealed from a determination that held the claimant not eligible for benefits under Unemployment Insurance Code section 1253(e) for the week ending July 21, 2007 and not eligible for benefits under code section 1253(c) for the two weeks ending July 28, 2007. The issues in this case are:

- (1) Whether the claimant conducted a search for suitable work in accordance with the department's reasonable instructions; and
- (2) Whether the claimant was able and available for work.

# STATEMENT OF FACTS

The claimant was most recently employed as an educational counselor for about 11 months, last earning approximately \$35,000 per year. He last worked on July 13, 2007, and was discharged under the following circumstances.

During the claimant's last day of work, he was offered-a leave of absence, due to stress relating to an allegedly hostile work environment. The claimant indicated that he would consider that option. The claimant notified his employer on July 13, 2007, that he would be taking a 10 day leave of absence, and returning to work July 26, 2007. He received no reply from his employer, and was not told that he would be fired if he took the leave of absence. The claimant provided a personal e-mail contact in case of any questions. The claimant received no e-mails from the employer.

The claimant went out of town during his leave of absence. When he returned on July 23, 2007, he received two Fed-EX letters, dated July 17 and July 19, 2007, indicating that the claimant was on an unauthorized leave of absence, and notifying the claimant that he had been terminated from employment. The claimant felt that the termination was retaliatory against the claimant for alleging a hostile work environment. Accordingly, he had no further communication with the employer.

2

The claimant had no prior warnings for the same or similar conduct. The employer protest letter indicated that the claimant failed to return to work

following a leave of absence, but in its July 19, 2007 termination letter, indicated that the claimant was never given permission to be on a leave of absence.

The claimant filed a claim for unemployment insurance benefits on July 24, 2007. He was able to work that week, and ready and willing to accept immediate full-time employment. He told the department that he last worked on July 13, 2007, so his claim effective date was July 15, 2007. The claimant did not look for work during the week ending July 21, 2007, as he believed he was still employed during that week. Likewise, he was not able and available for employment that week, as he was already on a leave of absence, and so believed he was employed.

# **REASONS FOR DECISION**

A claimant is eligible to receive benefits with respect to any week only if he or she conducted a search for suitable work in accordance with specific and reasonable instructions of a public employment office. (Unemployment Insurance Code, section 1253(e).)

A claimant is eligible to receive benefits with respect to any week only if the claimant was able to work and available for work for that week. (Unemployment Insurance Code, section 1253(c).)

The term "able to work" requires only that a claimant be physically able to seek, obtain and perform services in gainful employment. (Precedent Decision P-B-180.)

In Sanchez v. California Unemployment Insurance Appeals Board (1977) 20 Cal.3d 55, the California Supreme Court held that availability for work within the meaning of section 1253(c) of the Unemployment Insurance Code "requires no more than (1) that an individual claimant be willing to accept suitable work which he has no good cause for refusing and (2) that the claimant thereby make himself available to a substantial field of employment."

In this case, because the claimant did not look for work during the week ending July 21, 2007, he is not eligible for benefits under code section 1253(e) for that week. Because he was not able and available for that week, he is also ineligible for benefits under code section 1253(c) for that week. However, because the claimant was physically able to work, and was ready and willing to accept immediate full-time employment during the week ending July 28, 2007, he is not ineligible for benefits under code section 1253(c) for that week.

2190646-2

#### - DECISION

The department determination is modified. The claimant is ineligible for benefits under code section 1253(e) and 1253(c) for the week ending July 21, 2007. Benefits are denied for that week. The claimant is not ineligible for benefits under code section 1253(c) for the week ending July 28, 2007. Benefits are payable for that week, provided the claimant is otherwise eligible.

PAS: pm

4



# **NOTICE TO PARTIES**

If the enclosed decision is unfavorable, you may appeal it to the California Unemployment Insurance Appeals Board. The board generally will decide the case based on the record of the hearing before the administrative law judge, without a new hearing.

Send your board appeal in writing. You must include your name and address, the name and social security number of any claimant who is a party, and your account number if you are an employer. If a representative files your board appeal, it must include the representative's name and address. You may include other information such as your telephone and fax numbers, your e-mail address, the case number of the administrative law judge's decision, and a brief statement of your reasons.

Sending a timely board appeal is the only requirement to obtain a board review and decision in your case. You may also request a copy of the record, submit written argument, or apply to submit more evidence. If you do so, it may take more time to process your case. We will send you information about these additional procedures upon request or if a board appeal is filed.

New Hearing Request

If the enclosed decision is unfavorable, and you did not appear in a hearing, or you withdrew your appeal, you may request a new hearing and decision in your case. You must make your request, and specify your reasons, in writing. If warranted by your request, a hearing will be scheduled before an administrative law judge who will decide whether there is good cause to grant your request.

**Deadline and Address** 

You must send your board appeal or new hearing request within 20 calendar days after the enclosed decision was sent to you. Send it to:

> CUIAB - San Diego Office of Appeals 3517 Camino Del Rio South, #310 San Diego, CA 92108-4027 Phone: (619) 521-3300 Fax: (619) 521-3334

If you miss the deadline, you must include the reasons for your delay. A late board appeal or new hearing request will be dismissed or denied unless good cause is found for the delay.

If you are the claimant and you are still unemployed or disabled, you must file claim forms while your case is pending as required by EDD. If you do not have claim forms, contact EDD.

**Governing Procedure** 

This notice gives general information. The governing procedure is in the rules of the California Unemployment Insurance Appeals Board, Title 22, California Code of Regulations, Sections 5000-5200, available on the Internet at http://ccr.oal.ca.gov, or from the Office of Appeals without charge.

-Versión en español en el dorso-